

24 NCAC 06A .0812 CASHIERS, WINDOWS, AND CAGES

(a) The Wagering Facility shall have within or immediately adjacent to the Wagering Lounge a physical structure known as a cashiers' cage (cage) to house the cashiers. A Wagering Facility may also have within or immediately adjacent to the Wagering Lounge, one or more satellite cages.

(b) A cage or satellite cage shall be fully enclosed and shall, at a minimum:

- (1) perform financial transactions related to Sports Wagering or Pari-Mutuel Wagering;
- (2) be designed and constructed to provide maximum security for the materials stored and the activities performed therein. This design and construction shall be approved by the Commission;
- (3) include openings at one or more numbered cashier windows, each of which shall contain a cashier's drawer and through which financial transactions related to Sports Wagering or Pari-Mutuel Wagering will be conducted;
- (4) include manually triggered silent alarm systems, which shall be connected directly to the surveillance and the Wagering Facility security monitoring rooms;
- (5) provide for surveillance equipment capable of accurate visual monitoring and recording of activities; and
- (6) include a mantrap if the cage or satellite cage secure assets, including, but not limited to, currency having a value greater than an amount established by the Director. The outer door of the mantrap shall be controlled by Wagering Facility security personnel and the inner door shall be controlled by accounting personnel or another department personnel approved by the Director that are not the same as the personnel controlling the outer door. The doors of the mantrap shall have separate and distinct locking mechanisms on doors of the double door entry and exit system. The mantrap shall be subject to continuous surveillance coverage.

(c) A cage or satellite cage may have one or more service windows to serve as a location in the Wagering Facility to conduct financial transactions. These windows shall be designed and constructed in accordance with this Rule, and access shall be controlled by an accounting supervisor.

(d) If approved paperless systems are not in use, the Internal Controls shall require that documents regarding the funds stored in cages shall be transported between cages in a secure manner approved by the Commission and with Wagering Facility security personnel.

(e) A Wagering Facility shall have on hand in the cage, or readily available including in a restricted bank account or by bond, a reserve of Cash to pay winning Players.

(f) A cashiers' cage and any satellite cage shall be segregated by personnel so that no single Person has both control and approval for any aspects of cage operations is maintained.

(g) A qualified supervisor may perform the functions of a cashier provided that the functions are not incompatible with proper separation of duties outlined in Internal Controls. A supervisor shall not operate from another cashier or supervisor's imprest inventory.

- (1) The assets for which a general cashier is responsible shall be maintained on an imprest basis. A general cashier shall not permit another Person to access their imprest inventory. General cashier functions shall include, but are not limited to:
 - (A) performing check consolidations, total or partial redemptions, or substitutions for Players;
 - (B) receiving Cash, authorized Cash Equivalents and authorized electronic transfers from Players in exchange for currency or Wagering Vouchers;
 - (C) performing deposit and withdrawal transactions for Wagering Accounts, where supported;
 - (D) processing exchanges with cashiers, supported by documentation with written or digital signatures thereon, for the effective segregation of functions in the cashiers' cage;
 - (E) receiving Wagering Tickets or Vouchers from Players or authorized employees in exchange for Cash; and
 - (F) exchanging and reconciling imprest funds used by attendants, including imprest change/pouch payout funds.
- (2) The Wagering Facility may consolidate the cashier functions if the cashier is qualified to perform functions and performs no functions incompatible with proper separation of duties outlined in Internal Controls.

*History Note: Authority G.S. 18C-114(a)(14);
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